

# Investigating Active Site of Gold Nanoparticle $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$ in Selective Oxidation

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Understanding the structure–activity–selectivity relationships of catalytic gold nanoparticles (AuNPs) is a challenging task. Gold nanoparticles in the size range of 1–3 nm exhibit extraordinary catalytic activity and selectivity in many industrially valuable reactions, for example, low-temperature oxidation of carbon monoxide, selective oxidation of olefin and alcohol, and water–gas shift reactions, *etc.*<sup>1–16</sup> Although catalytic activity of bare gold clusters (with or without support) is now reasonably understood,<sup>17–28</sup> characterization of the structure–activity–selectivity relationship for AuNPs in the size range of 1–3 nm remains elusive, largely due to the lack of precise atomic structure information of large-sized gold clusters. Previous studies have reported that catalytic activities of AuNPs can be greatly affected by a number of factors, for example, the size and shape of AuNPs, the ratio of low *versus* high coordinate sites, solvent effects, and interaction and charge transfer between AuNPs and metal oxide support.

The gas-phase gold clusters had been widely used as model systems to investigate chemical activity as a function of cluster size and structure. In particular, it has been found that the binding of an  $\text{O}_2$  molecule on small-sized gold clusters ( $N \leq 20$ ) is sensitive to the cluster size and charge states. The even-number  $\text{Au}_N^-$  anion clusters can strongly interact with  $\text{O}_2$  molecule (with an exception of  $\text{Au}_{16}^-$ ), while the odd-number  $\text{Au}_N^-$  clusters hardly interact with the  $\text{O}_2$ .<sup>29,30</sup> The neutral clusters  $\text{Au}_N$ , the positive charged  $\text{Au}_N^+$  clusters, and the  $\text{Au}_N^-$  with large  $N$  were found inert toward the  $\text{O}_2$  adsorption, with the exception of  $\text{Au}_{10}$ <sup>31</sup> and  $\text{Au}_{10}^+$ .<sup>32,33</sup> Such a size depen-

**ABSTRACT** We present an *ab initio* investigation of structural, electronic, catalytic, and selective properties of the ligand-covered gold nanoparticle  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  and associated model clusters. The catalytic activity of the  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  nanoparticle in the presence of  $\text{O}_2$  stems from a combined effect of triphenylphosphine ligands and surface structure of the “magic-number” quasi-icosahedral  $\text{Au}_{55}$  core, which entails numerous ligand-encompassed triangle  $\text{Au}_6$  faces as the active sites. Under the Eley-Rideal mechanism, the “triangle-socket” active site not only can accommodate one pre-adsorbed  $\text{O}_2$  (which is subsequently activated to the superoxo species) with one styrene molecule at a time but also can provide spatial confinement which favors the formation of an oxametallacycle intermediate that leads to unique selectivity in styrene oxidation.

**KEYWORDS:** ligand-covered gold nanoparticles · catalytic properties and selectivity · active sites · quasi-icosahedral  $\text{Au}_{55}$  core · density-functional theory

dence has been explained on the basis of the electron affinity of  $\text{Au}_N^-$  clusters, that is, a low electron affinity requires higher free energy for the  $\text{Au}_N^- - \text{O}_2$  binding.<sup>29,30</sup>

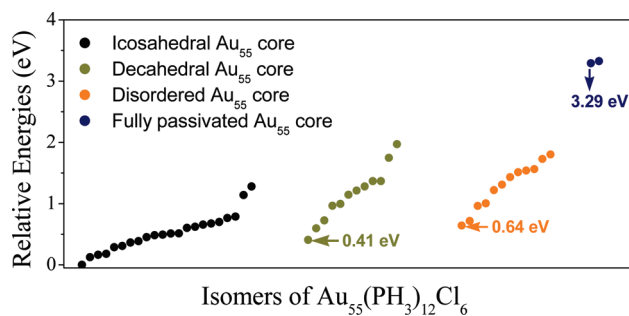
Gold clusters on metal oxide supports (*e.g.*,  $\text{TiO}_2$  and  $\text{MgO}$ ) show significantly enhanced activity and selectivity.<sup>16,18–22</sup> Chen *et al.* found that on a  $\text{TiO}_2$  support Au bilayer nanostructures show a maximum reaction activity toward the CO, about 10 times higher than that on monolayer Au nanostructures, and 45 times faster than the most active  $\text{Au}/\text{TiO}_2$  catalysts prepared from conventional methods.<sup>8</sup> Herzing *et al.* identified that bilayer Au clusters with a diameter of 0.5 nm and containing  $\sim 10$  atoms give rise to maximum activity toward the CO oxidation.<sup>14</sup> Landman and Heiz *et al.* showed that the charge transfer from the bottom F-center of  $\text{MgO}$  support to the  $\text{Au}_8$  cluster plays a key role in promoting the chemical activity of  $\text{Au}_8$  clusters.<sup>19</sup> Subsequent density functional theory (DFT) calculations confirmed the importance of the charge transfer between gold clusters and metal oxide to the enhancement of activity of Au clusters.<sup>34–38</sup> Sinha *et al.* reported that the silylated mesoporous titanasilicates

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**Figure 1.** Relative energies of 48 isomer structures of  $\text{Au}_{55}(\text{PH}_3)_{12}\text{Cl}_6$  (Iso1 to Iso48, from low to high energy), optimized at the PBE/DNP level of theory.

support can greatly enhance the catalytic activity of gold catalysts for propylene epoxidation as well, even better than the  $\text{TiO}_2$  support.<sup>39</sup>

Organic ligand-protected AuNPs are generally synthesized in solutions. In recent years, determination of the atomic structures of ligand-protected gold clusters have attracted considerable interest. Many previous studies have shown that ligand (such as mixed  $-\text{P}(\text{Ph})_3$  and  $-\text{Cl}$ , or the thiolate group  $-\text{SR}$ ) protected gold clusters typically have a highly symmetric Au core.<sup>40–53</sup> The core size ranges from 0.5 to 1.3 nm. In general, ligand-protected Au clusters are considered ineffective for catalysis because the Au cores are mostly shielded by the ligands.<sup>41–53</sup> Recently, however, Tsunoyama *et al.* showed that the gold clusters stabilized by poly(*N*-vinyl-2-pyrrolidone) (PVP) and with a core size of  $1.3 \pm 0.3$  nm can exhibit high catalytic activity for various aerobic oxidation reactions, such as oxidation of alcohol, homocoupling of arylboronic acids, generation of hydrogen peroxide from ammonium formate, and  $\alpha$ -hydroxylation of benzylic ketones.<sup>52</sup> A mechanism for the high catalytic activity was attributed to the anionic Au core due to the electron donation effect of PVP molecules, which is akin to the electron-transfer mechanism between a gold cluster and a metal oxide support.<sup>19,52</sup> Another recent experimental study also demonstrated that the thiolate-protected  $\text{Au}_{25}$  nanocluster  $[\text{Au}_{25}(\text{SR})_{18}]$  can selectively catalyze the hydrogenation of  $\alpha,\beta$ -unsaturated ketones and aldehydes under mild conditions.<sup>53</sup> The active sites for the  $\text{C}=\text{O}$  activation were likely at the eight open facets of the  $\text{Au}_{13}$  icosahedral core.

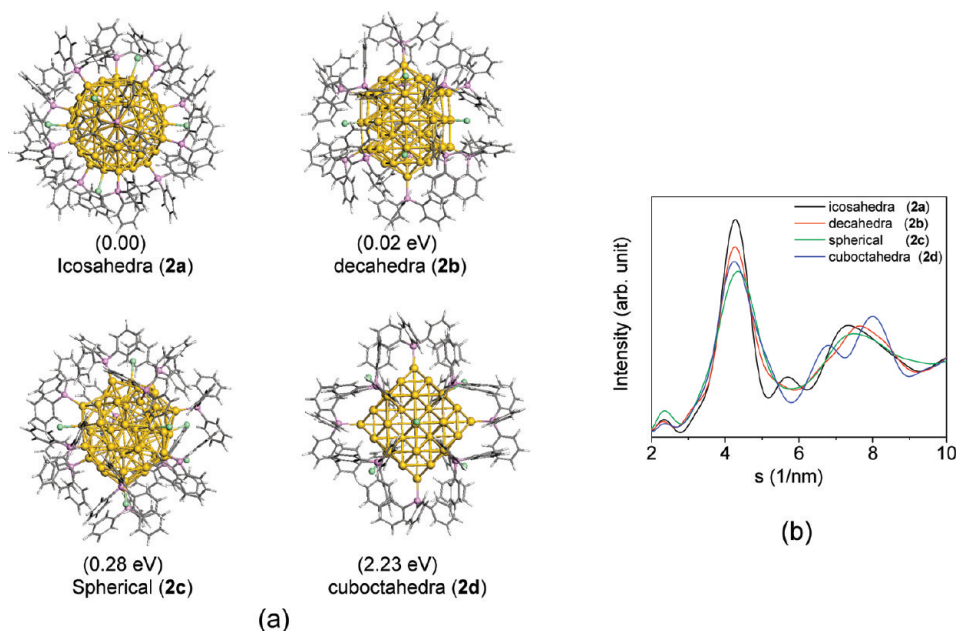
Also recently, Turner *et al.* reported that the ligand-covered 55-atom gold cluster  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  can exhibit relatively high activity and selectivity towards styrene oxidation,<sup>10</sup> as evident by the direct oxidation of styrene with  $\text{O}_2$  to form benzaldehyde, styrene epoxide, and acetophenone.<sup>10</sup> Especially, the  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  cluster gives a conversion rate of 12.6% and selectivity of 13.1% toward styrene epoxide. Moreover, the  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  cluster provides higher selectivity toward benzaldehyde than to styrene epoxide and acetophenone.

In this article, we present a systematic *ab initio* study of low-lying structures of  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$ . Particular attention has been placed on seeking the active site on the  $\text{Au}_{55}$  core and a mechanism underlying the high selectivity of  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  in styrene oxidation. We found that the catalytic activity and selectivity of  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  can be attributed to a combination of several factors, including the quasi-icosahedral  $\text{Au}_{55}$  core structure and the ligand arrangement as well as the triphenylphosphine-surrounded triangle  $\text{Au}_6$  face as the active site.

## RESULTS AND DISCUSSIONS

**$\text{Au}_{55}$  Core Structure in  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$ .** The structure of  $\text{Au}_{55}$  core in the  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  nanoparticle has been under debate since the first synthesis of the nanoparticles in 1981.<sup>40</sup> The cluster was hard to crystallize and therefore a single-crystal X-ray analysis was not possible. A cuboctahedral structure of  $\text{Au}_{55}$  core was first proposed by Schmid *et al.* based on Mössbauer, extended x-ray absorption fine structure (EXAFS), x-ray absorption near edge structure (XANES), and wide-angle x-ray scattering (WAXS) measurements as well as geometric consideration.<sup>54,55</sup> However, an icosahedral  $\text{Au}_{55}$  core structure was later suggested on the basis of the Debye-function analysis of X-ray diffraction (XRD) data.<sup>56,57</sup> To determine the most likely structure of the  $\text{Au}_{55}$  core, we performed geometric optimization of 49 different structures of  $\text{Au}_{55}(\text{PR}_3)_{12}\text{Cl}_6$  (labeled as Iso-1 to Iso-49), but the original phenyl groups were replaced by  $-\text{H}$  (e.g.,  $\text{R} = -\text{H}$ ) to reduce computational cost. Three groups of  $\text{Au}_{55}$  core structures were considered: (a) three high-symmetry  $\text{Au}_{55}$ -core structures composed of icosahedral ( $I_h$ ), cuboctahedral ( $O_h$ ), and decahedral ( $D_{5h}$ ) structures; (b) five low-symmetry disordered  $\text{Au}_{55}$  structures, derived from a global-minimum search using the basin-hopping method,<sup>58,59</sup> composed of the top five lowest-lying isomers among 200 low-energy  $\text{Au}_{55}$  isomers; the lowest-lying  $\text{Au}_{55}$  isomer is 0.47, 1.40, and 1.97 eV lower in energy than the  $I_h$ ,  $D_{5h}$ , and  $O_h$   $\text{Au}_{55}$  cluster, respectively, based on the PBE/DNP level of theory (see Computational Methods). Our result is consistent with a recent joint experimental and theoretical study in that the lowest-energy isomer of anion  $\text{Au}_{55}^-$  exhibits a disordered structure,<sup>60</sup> (c) two  $\text{Au}_{55}$  structures which are composed of a  $\text{Au}_{37}$  nucleus and a  $\text{Au}_{18}$  shell, where each Au atom in the  $\text{Au}_{18}$  shell is directly bonded with one of 18 ligands (e.g.,  $[\text{Au}_{37\text{-nucleus}}][\text{Au}_{18}(\text{PH}_3)_{12}\text{Cl}_6]$ ).

Figure 1 illustrates relative energies of totally 48 isomers of  $\text{Au}_{55}(\text{PH}_3)_{12}\text{Cl}_6$ . The  $O_h$ - $\text{Au}_{55}$  core-based structure (Iso-49) was not included since the  $O_h$ - $\text{Au}_{55}$  core was converted to a quasi- $I_h$ - $\text{Au}_{55}$  core after geometric optimization. In general, the  $I_h$ - $\text{Au}_{55}$  core-based (Iso-1 to Iso-22) and  $D_{5h}$ - $\text{Au}_{55}$  core-based (Iso-23 to Iso-34) isomers possess relatively lower energies. The lowest-energy isomer (Iso-1) has a quasi- $I_h$ - $\text{Au}_{55}$  core, and it is 0.41 eV lower in energy than the lowest-energy  $D_{5h}$ - $\text{Au}_{55}$



**Figure 2.** (a) Optimized structures and relative energies of icosahedral (**2a**), decahedral (**2b**), disordered (**2c**), and cuboctahedral (**2d**) Au<sub>55</sub> core-containing Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> isomers. (b) A comparison of simulated XRD patterns of the four isomer structures. Color code: Au (gold), C (green), P (purple), Cl (grey), and H (white).

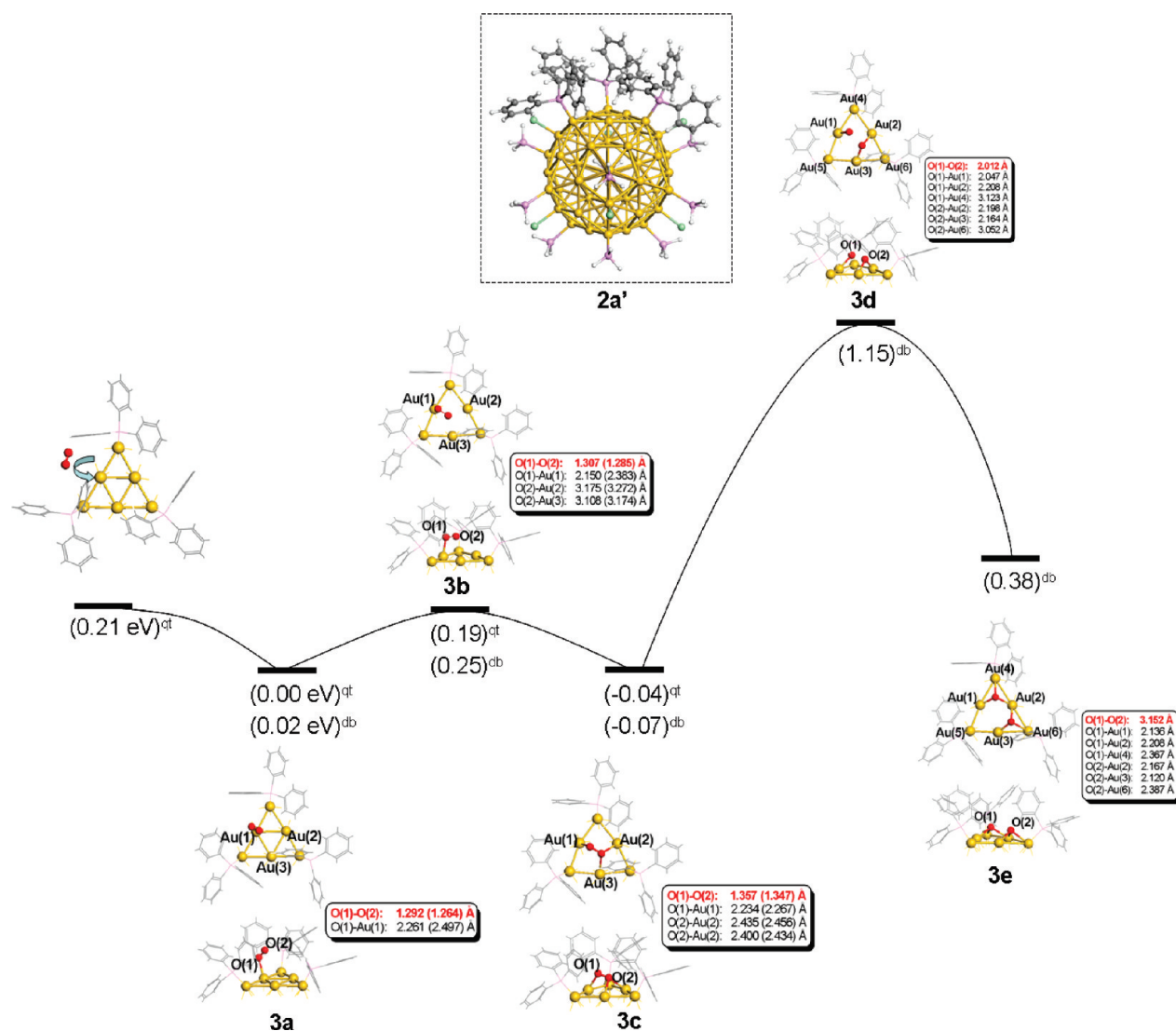
core-based isomer (**Iso-23**) and 0.64 eV lower than the disordered Au<sub>55</sub> core-based isomer (**Iso-35**). **Iso-47** and **Iso-48** have fully passivated Au<sub>55</sub> cores, and they are much higher in energy (> 3 eV) than **Iso-1**. The energy ordering among the 48 Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> isomers indicate that the —PH<sub>3</sub> ligands can stabilize both the quasi-*I<sub>h</sub>*-Au<sub>55</sub> and quasi-*D<sub>5h</sub>*-Au<sub>55</sub> cores. Similar behavior has been observed in small-sized ligand-protected gold clusters, for instance, the quasi-*I<sub>h</sub>*-Au<sub>13</sub> core in [Au<sub>13</sub>(PMe<sub>2</sub>Ph)<sub>10</sub>Cl<sub>2</sub>]<sup>3+</sup> cluster and the hexagonal antiprismatic *D<sub>3h</sub>*-Au<sub>39</sub> core in [Au<sub>39</sub>(Ph<sub>3</sub>P)<sub>14</sub>Cl<sub>6</sub>]<sup>2+</sup> cluster.<sup>61,62</sup>

Note that the simplified model structures of Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> shown above provide only approximate structures for the Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> nanoparticles. In fact, the replacement of the phenyl group by —H in the simplified model Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> can lead to a strong hydrogen-bonding interaction between the —PH<sub>3</sub> and —Cl groups and can also underestimate non-bonding repulsion among the —PPh<sub>3</sub> ligands in Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub>. We performed additional geometric optimization of three triphenylphosphine-covered Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> isomers which possess a quasi-*I<sub>h</sub>*-, a quasi-*D<sub>5h</sub>*-, and a disordered Au<sub>55</sub> core, respectively. The three more realistic structures are labeled as **2a**, **2b**, and **2c**, respectively. The initial Au<sub>55</sub> core geometries and ligand arrangements of **2a**, **2b**, and **2c** were identical to those of **Iso-15**, **Iso-23**, and **Iso-35**, except the —PH<sub>3</sub> groups were replaced by —PPh<sub>3</sub> groups. In **2a** and **2b**, the 12 —PPh<sub>3</sub> groups occupy 12 vertices of a Au<sub>55</sub> core to minimize steric interactions. We also considered an *O<sub>h</sub>*-Au<sub>55</sub> core-based isomer of Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> (namely, **2d**), built with six —Cl ligands attached to the center Au atom on the six quadrangle faces, which is a homologue to the structure originally proposed by Schimid *et al.*<sup>54,55</sup> Figure 2a

displays optimized structures and relative energies of **2a–d**. The structures **2a** and **2b** containing a quasi-*I<sub>h</sub>* and quasi-*D<sub>5h</sub>*-Au<sub>55</sub> core, respectively, are nearly isoenergetic (with an energy difference less than 0.02 eV), while **2c** and **2d** are 0.28 and 2.23 eV higher in energy than **2a**, respectively. This energy ordering is consistent with that obtained from the simplified model Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> (Figure 1). Note also that the arrangement of —Cl groups on the quasi-*I<sub>h</sub>*-Au<sub>55</sub> (**2a**) or quasi-*D<sub>5h</sub>*-Au<sub>55</sub> (**2b**) core is somewhat arbitrary due to small energy differences (<0.2 eV; see Figure 1) among different ligand arrangements.

Our prediction of the quasi-*I<sub>h</sub>*-Au<sub>55</sub> core for Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> nanoparticle is consistent with earlier XRD studies.<sup>56,57</sup> We note that the quasi-*I<sub>h</sub>*-Au<sub>55</sub> core structure was also concluded in a recent theoretical study of Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub>.<sup>63</sup> In Figure 2b, we display simulated XRD patterns for **2a–d** based on the Debye-function analysis (see Computational Methods). The first major peak (at ~4.2 nm<sup>-1</sup>) of simulated XRD patterns of **2a–c** is in good agreement with that of the experimental one,<sup>56</sup> but that of **2d** is not because of the presence of two peaks in the 6–9 nm<sup>-1</sup> region. The simulated XRD spectrum of **2a** exhibits another weaker peak at 5.7 nm<sup>-1</sup> while a weak peak at ~4.9 nm<sup>-1</sup> was also observed in the experiment (Figure 6 in ref 55). Despite the difference in the location of the weak peak, it seems that the structure of quasi-*I<sub>h</sub>*-Au<sub>55</sub> core-based isomer **2a** is closer to the isomer detected in the XRD experiment (ref 55) than that of isomer **2b** and **2c**, in light of this weak signature peak.

**Structure–Activity Relationship of Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub>.** In the quasi-*I<sub>h</sub>*-Au<sub>55</sub> core-based Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> (**2a**), the 12 —PPh<sub>3</sub> ligands occupy 12 vertices of the quasi-*I<sub>h</sub>*-Au<sub>55</sub>



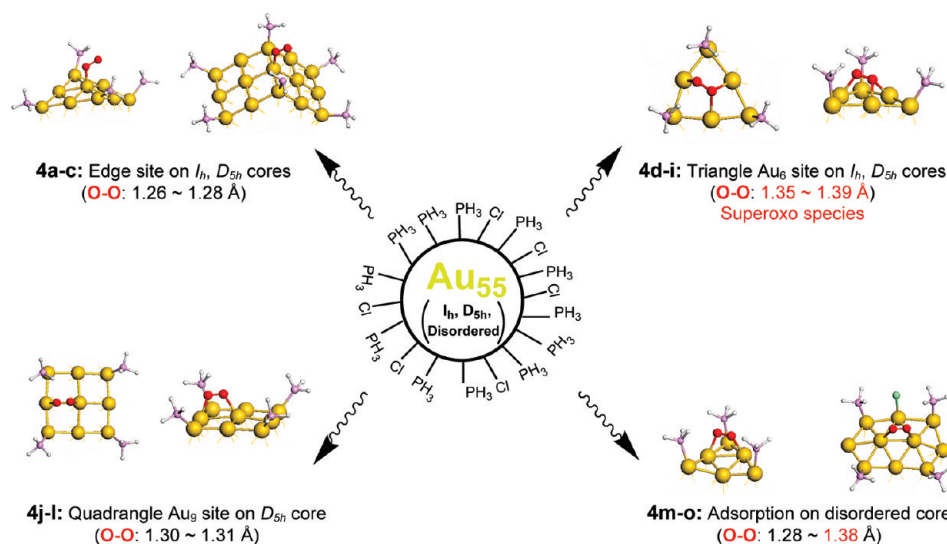
**Figure 3.** The O<sub>2</sub> dissociation pathway on the triangle Au<sub>6</sub> active site encompassed by —PPh<sub>3</sub> ligands, which is calculated on the basis of a model system **2a'**. The energy is in units of eV. The superscripts qt and db represent the quartet and doublet spin states, respectively. For states **3a–3c**, both top and side views are shown. The bond lengths shown in parentheses correspond to the quartet spin state of **3a–3c**.

core. Hence, only edge sites and triangle Au<sub>6</sub> faces can be potential active sites for O<sub>2</sub> adsorption and activation. To compute the O<sub>2</sub> dissociation pathway, we used a simplified model **2a'** which is derived from **2a** by keeping only three —PPh<sub>3</sub> groups for encompassing a triangle Au<sub>6</sub> face while all other —PPh<sub>3</sub> groups are replaced by —PH<sub>3</sub> groups (Figure 3). Both doublet and quartet spin states were examined when computing the O<sub>2</sub> dissociation pathway.

It is worth noting that, on the basis of the lowest-lying Au<sub>55</sub> cluster obtained from the basin-hopping search, it appears the bare Au<sub>55</sub> is chemically quite inert toward O<sub>2</sub> activation. An O<sub>2</sub> dissociation route is computed and shown in Supporting Information Figure S1. We found that a fairly high energy barrier (1.95 eV) is required for the O<sub>2</sub> dissociation when the O<sub>2</sub> is adsorbed at a triangle-like surface site. Such a high energy barrier indicates that the bare disordered Au<sub>55</sub> cluster, based on our model, is unlikely to catalyze O<sub>2</sub> dissociation.

As shown in Figure 3, when O<sub>2</sub> is adsorbed on the triangle Au<sub>6</sub> face, the activation of O<sub>2</sub> takes two steps: the formation of a superoxo-like species (**3a** to **3c**) and the dissociation of superoxo species into two O atoms (**3c** to **3d**). Initially, an O<sub>2</sub> molecule is adsorbed on an edge site (**3a**) with an adsorption energy of −0.21 eV, where the whole system is at quartet spin ground state. The O–O bond length is 1.264 Å (about 0.06 Å longer than the gas phase O–O bond length). The net spin population on two O atoms is 0.71 and 0.80, respectively (*cf.* Supporting Information Table S1), indicating that O<sub>2</sub> is scarcely activated. The barrier for O<sub>2</sub> activation from **3a** to **3c** is 0.19 eV and the process is slightly exothermic (−0.07 eV). Note that for **3c** the doublet spin state gives rise to a lower energy than the quartet spin state. At the intermediate state **3c**, the O–O bond is elongated to ~1.36 Å, and the spin population on the two O atoms decreases to 0.13 (*cf.* Table S1). Charge analysis shows that both O atoms are *negatively charged* (*cf.*





**Figure 4.** Summarization of O<sub>2</sub> adsorption and activation on four types of surface site on the Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> nanoparticle with different Au<sub>55</sub> core structures: **4a,b,d–g** are based on isomers with quasi-*I<sub>h</sub>*-Au<sub>55</sub> and quasi-*D<sub>5h</sub>*-Au<sub>55</sub> core isomers; **4h–l** are based on isomers with decahedral Au<sub>55</sub> core; **4m,n** present adsorption sites on isomers with the disordered Au<sub>55</sub> core. Detailed geometries of various adsorption modes (**4a–o**) and the spin/charge distributions of O and Au atoms are given in Figure 5 and Supporting Information Table S1, respectively.

Table S1), confirming the formation of a superoxo-like species. Next, the barrier from the intermediate state **3c** to O<sub>2</sub> dissociation state **3e** is 1.22 eV, about 0.6 eV lower than the barrier to O<sub>2</sub> dissociation on the Au(111) surface (~1.80 eV) but comparable to that on the step of Au(211).<sup>64</sup> Note also that the experiment<sup>10</sup> was carried out at ~100 °C, which can further promote O<sub>2</sub> dissociation. Although the O<sub>2</sub> dissociation is endothermic, a relatively high association barrier (~0.77 eV from **3e** to **3d**) may hinder the reverse reaction. At the dissociation state **3e**, the two O atoms are separated by 3.15 Å with each O adsorbed on a threefold fcc hollow site.

A number of sites for O<sub>2</sub> adsorption on the homologue Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> with different Au<sub>55</sub> core structures (from the isomer database shown in Figure 1) were examined. Figures 4 and 5 list 15 representative O<sub>2</sub> adsorption sites, including edge sites (**4a–c**) and triangle faces (**4d–i,m**), as well as quadrangle faces (**4j–l**) on the *D<sub>5h</sub>*-Au<sub>55</sub> core and a few irregular sites (**4n,o**) on the disordered Au<sub>55</sub> core. Here, **4g** has the same ligand arrangement as **3c**. Adsorption energies, charge, and spin densities on O atoms for **4a–o** are given in Supporting Information Table S1. By comparing the O–O bond length in **4g** and **3c**, it can be seen that the replacement of phenyl group by —H incurs little change on the O–O bond length in the superoxo species, validating the use of simplified model Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> for testing O<sub>2</sub> activation. As shown in Figures 4 and 5, the O–O bond length is significantly elongated when O<sub>2</sub> is located near the triangle Au<sub>6</sub> face (**4d–i,m**), regardless of the Au<sub>55</sub> core structure, arrangement of ligands, and spatial orientation of O<sub>2</sub>.

Upon O<sub>2</sub> adsorption, electron transfer takes place from Au to O due to stronger electronic affinity of the

O atom. On the triangle Au<sub>6</sub> face (**4d–i,m**), the electron transfer ( $\delta_{Au}$ ) is much more than that on other type sites. The three inner Au atoms (Au(1), Au(2), and Au(3); see Figure 5) of the triangle Au<sub>6</sub> face are mainly involved in the charge transfer (*cf.* Supporting Information Table S1). All Au–Au bonds on the triangle active sites are significantly stretched upon O<sub>2</sub> adsorption (*cf.* **4d–i** in Figure 5). Spin-density analysis shows that the net spin on the two O atoms is fairly small in **4d–i,m** (<0.30 for each O atom), consistent with strong activation of O<sub>2</sub>. On the quadrangle face of **4j–l**, O<sub>2</sub> is moderately activated, as evident from modest elongation of O–O bond length (~1.31 Å) and spin density on O atoms (*cf.* Figure 5 and Supporting Information Table S1). On an edge site (**4a–c**) or an irregular face (**4n,o**), O<sub>2</sub> is only weakly activated. The charge on each O atom is less than 0.01 *e* and the spin density is close to that of gas-phase O<sub>2</sub> in **4a–c,n,o**. In addition, we examined numerous adsorption sites on 11 isomers containing the disordered-Au<sub>55</sub> core (**Iso-35** to **Iso-46** in Figure 1). No evidence of strong O<sub>2</sub> activation was found. We therefore conclude that the —PPh<sub>3</sub> encompassed triangle Au<sub>6</sub> face is the primary *active site* on Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub>. The quasi-*I<sub>h</sub>*-Au<sub>55</sub> core possesses 20 triangle Au<sub>6</sub> faces, offering the largest number of active sites for O<sub>2</sub> activation; the *D<sub>5h</sub>*-Au<sub>55</sub> core possesses 10 triangle Au<sub>6</sub> faces, while the disordered-Au<sub>55</sub> core has an irregular surface with fewer active sites.

The underlying mechanism for the activity of the —PPh<sub>3</sub>-encompassed triangle Au<sub>6</sub> face is due to several factors. First, at the 12 vertices of the quasi-*I<sub>h</sub>*-Au<sub>55</sub> core, the —PPh<sub>3</sub> ligand strongly binds with the undercoordinated vertex Au site. A back donation of electrons from P to the vertex Au renders all unpassivated edge

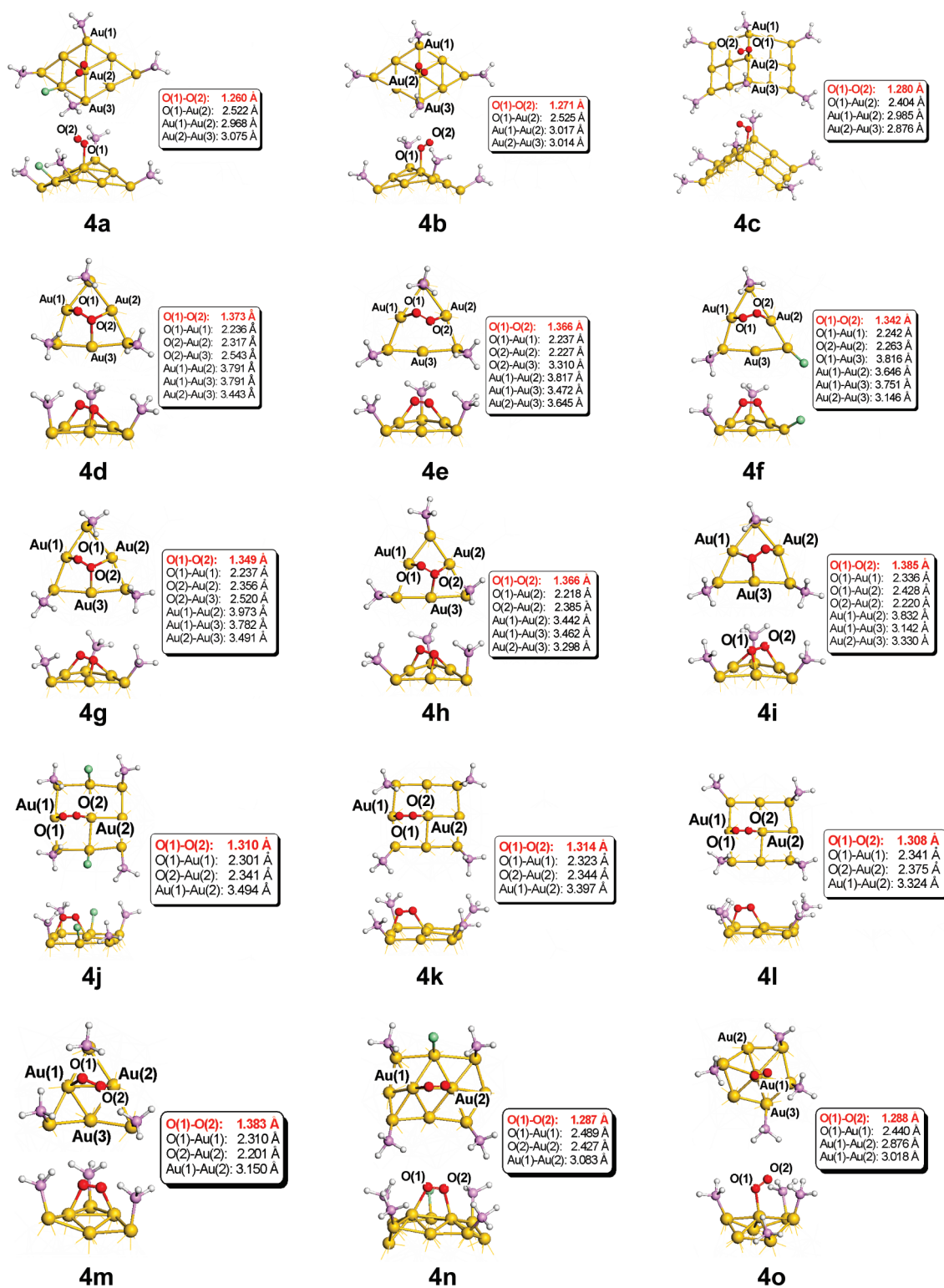
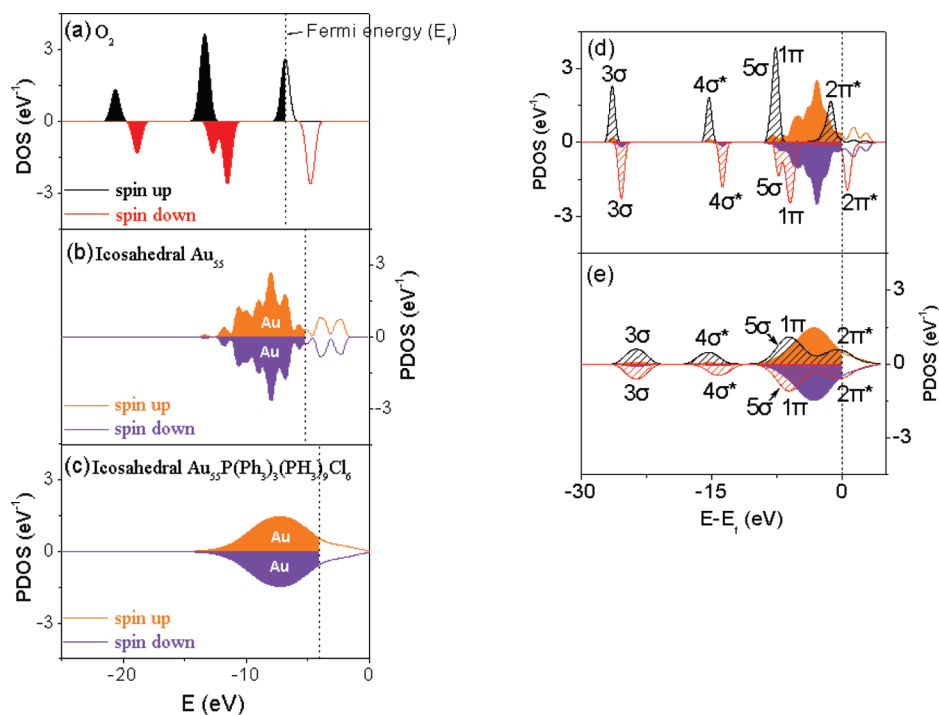


Figure 5. Local geometries of O<sub>2</sub> adsorbed on various sites with different Au<sub>55</sub> core structures: **4a,b,d–g** are based on isomers with quasi-*I<sub>h</sub>*-Au<sub>55</sub> and quasi-*D<sub>5h</sub>*-Au<sub>55</sub> cores; **4h–l** are based on isomers with decahedral Au<sub>55</sub> core; **4m,n** represent adsorption sites on isomers with the disordered Au<sub>55</sub> core.

Au atoms *negatively charged*. The negatively charged Au atoms at the edge of Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> lead to strong O<sub>2</sub> activation. A similar mechanism was found for the supported Au<sub>8</sub> cluster on the MgO surface,<sup>19</sup> where Au<sub>8</sub> is negatively charged due to the F-center on the MgO

surface. In Figure 6a–c, we plot the partial density of state (PDOS) of an edge Au atom in the triangle Au<sub>6</sub> face for both bare *I<sub>h</sub>*-Au<sub>55</sub> and ligand-covered quasi-*I<sub>h</sub>*-Au<sub>55</sub> (**2a'**) clusters, and the DOS of the O<sub>2</sub> molecule. The Fermi energy of the Au atom is shifted to a higher en-



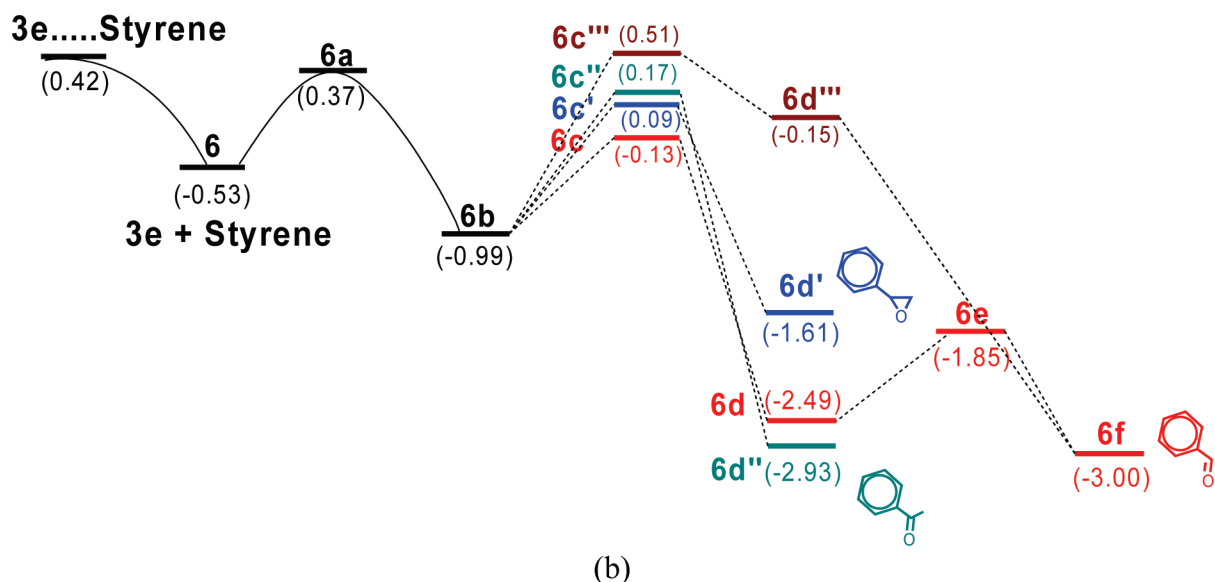
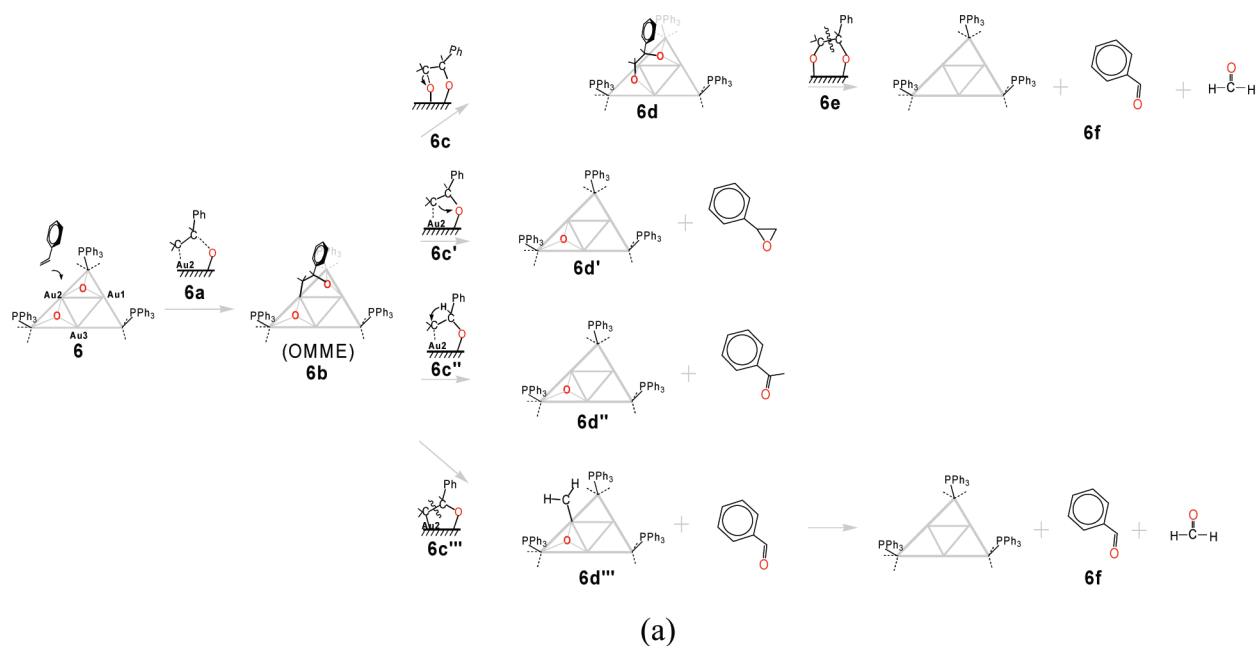
**Figure 6.** (a) DOS of  $O_2$  molecule; (b) PDOS of an edge Au atom on the triangle  $Au_6$  face for a bare  $I_h$ - $Au_{55}$  cluster and that for a (c) ligand-covered quasi- $I_h$ - $Au_{55}$  cluster (**2a'**); (d) PDOS of an adsorbed  $O_2$  and PDOS of an edge Au atom on the triangle  $Au_6$  face for a ligand-covered quasi- $I_h$ - $Au_{55}$  cluster; (e) PDOS of an adsorbed  $O_2$  and PDOS of an edge Au atom on the triangle  $Au_6$  face for ligand-covered quasi- $I_h$ - $Au_{55}$  cluster (**3c**, cf. Figure 3). Orange and violet areas represent PDOS of the Au atom. The black and red lines represent DOS of the  $O_2$  molecule.

ergy due to the presence of ligands (Figure 6c), resulting in more overlap between the highest occupied molecular orbital (HOMO) of the Au atom and the lowest unoccupied molecular orbital (LUMO) (down-spin  $2\pi^*$ ) of  $O_2$ . Moreover, the spatial orientation of the HOMO of **2a'** (Supporting Information Figure S2) matches nicely with the  $2\pi^*$  (LUMO) of  $O_2$  at the triphenylphosphine-encapsulated triangle  $Au_6$  face, facilitating orbital interaction and electron transfer. Figures 6 panels d and e display the PDOS of  $O_2$  adsorbed on the bare  $I_h$ - $Au_{55}$  cluster and the ligand-covered  $Au_{55}$  (**2a'**), respectively. A strong resonance between the  $2\pi^*$  orbital of  $O_2$  and the 5d and 6s bands of the edge Au atom occurs on **2a'**. The spin-down  $2\pi^*$  orbital is partially occupied due to the charge transfer from Au to O. In contrast, less orbital resonance is seen for  $O_2$  adsorbed on the bare  $I_h$ - $Au_{55}$  cluster (Figure 6d) as the spin-down  $2\pi^*$  orbital of  $O_2$  remains unoccupied. These observations indicate that the ligands in  $Au_{55}(PPh_3)_{12}Cl_6$  not only stabilize the  $Au_{55}$  core, but also significantly affect the electronic structure of the inner Au core. We note that such a promotion effect of  $O_2$  activation by linking the electron-donation ligands on gold clusters was also proposed independently in recent experimental<sup>52</sup> and theoretical<sup>65</sup> studies.

**Selectivity in Styrene Oxidation.** Another intriguing property of  $Au_{55}(PPh_3)_{12}Cl_6$  is its catalytic selectivity in styrene oxidation. Turner *et al.* reported that, for styrene oxidation,  $Au_{55}(PPh_3)_{12}Cl_6$  gives rise to a much higher

yield of benzaldehyde than styrene epoxide and acetophenone.<sup>10</sup> This selectivity can be attributed to the special local geometry of the active site (— $PPh_3$ -encapsulated triangle  $Au_6$  face), named as a “triangle socket” here in light of the spatial confinement by surrounding ligands. In Scheme 1, four probable reaction pathways under Eley-Rideal mechanism<sup>19,20,26,31,66–68</sup> are shown, as well as the corresponding energy diagrams, for the case when a styrene molecule, along with pre-adsorbed two O atoms, is trapped in the “triangle socket”. Figure 7 displays corresponding molecular snapshots and diagrams of the intermediate and transition states associated with the four reaction pathways.

On a bare gold cluster or surface, a known step for styrene oxidation is the initial formation of the oxametallacycle (OMME) intermediate through an electrophilic attack of  $C=C$  double bond to a positively charged gold moiety.<sup>69–71</sup> With an oxygen atom adsorbed on  $I_h$ - $Au_{55}(PPh_3)_{12}Cl_6$  (**3e**), the Au(2) site (cf. Figure 3) possesses a significant amount of positive charge due to its interaction with two O atoms. Thus, the reaction pathway for styrene oxidation starts most likely from an initial attack of the Au(2) site by the  $C=C$  double bond. Scheme 1a presents four most probable reaction pathways for the styrene oxidation. The corresponding energy diagram (scheme 1b) shows that trapping a styrene molecule in the triangle active site is exothermic ( $-0.95$  eV), and the energy barrier for the subsequent formation of the OMME intermediate (**6**→**6b**) is 0.90 eV, with the energy release



Scheme 1. (a) Four styrene oxidation pathways at the ligand-encapsulated triangle  $\text{Au}_6$  active site. (b) Energy diagrams for the oxidation reactions shown in panel a. Snapshots of key reaction intermediates and transition states are available in [Figure 7](#). The energy is in units of eV. The energy curve in panel b is continued by the  $\text{O}_2$  dissociation pathway in [Figure 8](#).

of 1.36 eV. Starting from the OMME intermediate (**6b**), four pathways (through transition states **6c**, **6c'**, **6c''**, **6c'''**, respectively) are possible toward the formation of three different products as illustrated in Scheme 1a. As shown in Scheme 1a,b, the most favorable pathway (**6b**→**6c**→**6d**→**6e**→**6f**) entails a key step for the formation of a second OMME intermediate (**6d**) which involves two C–O bonds with both oxygens bonded to gold atoms underneath. The activation energy to the formation of the second OMME intermediate (**6b**→**6d**) is 0.22 eV lower than that in the epoxide process (**6b**→**6d'**), and 0.30 eV lower than the intramolecular hydrogen transfer reaction (**6b**→**6d''**). Finally, the benzaldehyde (and form-

aldehyde) can readily form through the path (**6d**→**6f**) with a low-energy barrier (0.64 eV), which involves the breaking of the C–C bond. This path is responsible for a very high yield of benzaldehyde. In contrast, the energy barrier (1.5 eV) through the path **6b**→**6d'''** is much higher than that (0.86 eV) through **6b**→**6d**, suggesting that the formation of the second OMME intermediate containing two C–O bonds is a critical step to the high yield of benzaldehyde.

## CONCLUSIONS

We show that  $\text{Au}_{55}(\text{PPh}_3)_{12}\text{Cl}_6$  nanoparticles likely possess a quasi- $I_h$ - $\text{Au}_{55}$  core. The ligands not only can ef-



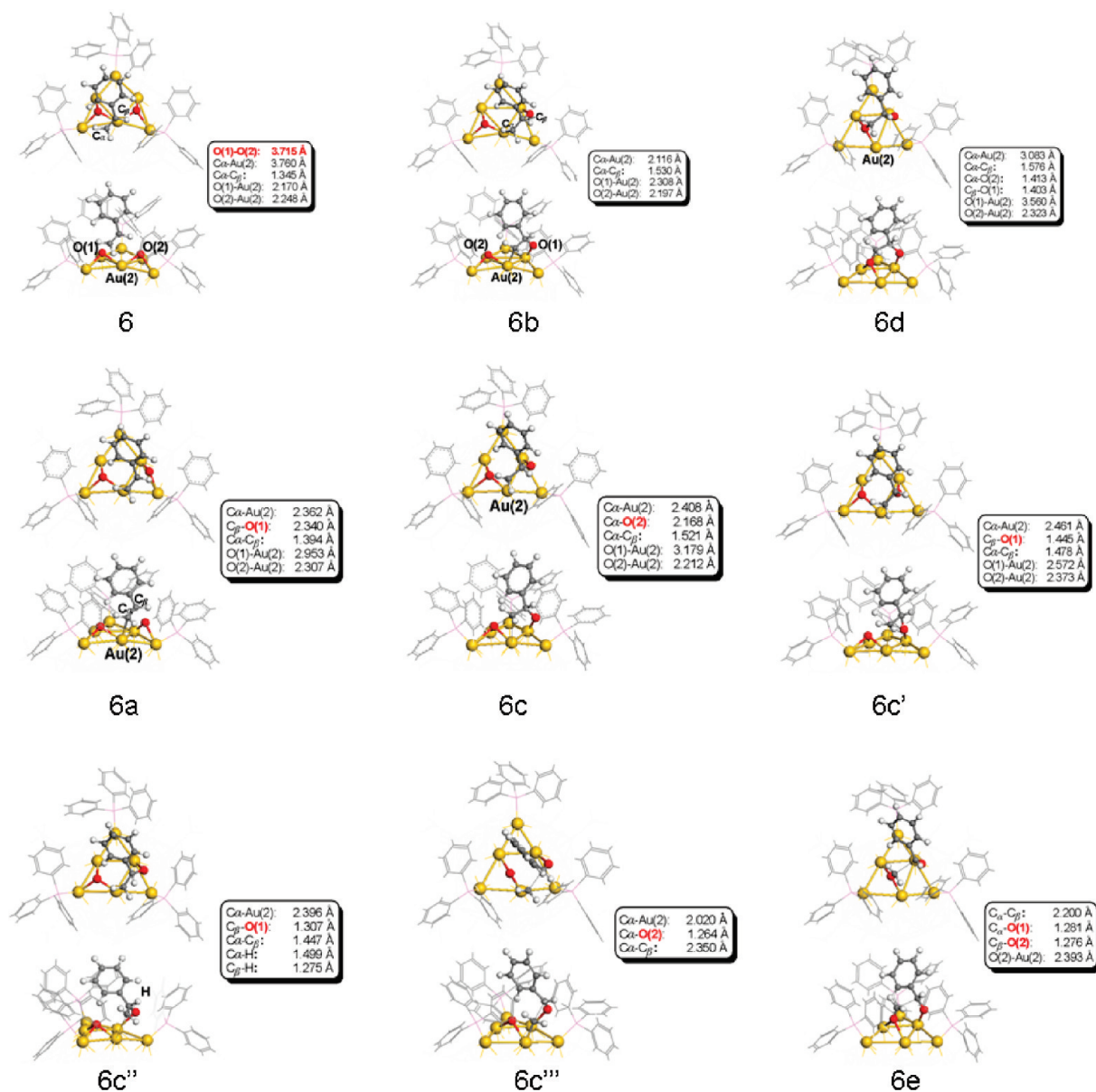


Figure 7. Snapshots of key reaction intermediates and transition states in the styrene oxidation pathway shown in Scheme 1.

fectively stabilize the quasi-*h*-Au<sub>55</sub> core structure but also can significantly affect the electronic structure of the inner gold cluster. The catalytic activity of the Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> nanoparticle in the presence of O<sub>2</sub> stems from a *combined* effect of triphenylphosphine ligands and surface structure of the “magic-number” quasi-*h*-Au<sub>55</sub> core, which entails numerous ligand-encapsulated triangle Au<sub>6</sub> faces as active sites. The electron back-donation from the —P(PPh<sub>3</sub>)<sub>3</sub> groups makes the Au<sub>55</sub> core negatively charged. The negative charged and low-coordinated Au atoms in the active site facilitate O<sub>2</sub> activation. Furthermore, the spatial confinement by ligands gives rise to a new form of OMME intermediate containing two C—O bonds, leading to a high yield of benzaldehyde in the styrene oxidation. Identification of the ligand—encapsulated gold active site will facilitate improved molecular design of a ligand-covered

nanogold catalyst. Finally, we note that Turner *et al.* have also reported that the removal of ligands by heat treatment can significantly increase the activity and selectivity of Au nanoparticles around the size of 1.4 nm or smaller.<sup>10</sup> Recent DFT calculations showed that catalytic activity of a bare Au<sub>55</sub> nanocluster can be strongly dependent on the structure of the cluster.<sup>28</sup> Hence, a complete understanding of the unique catalytic activity and selectivity of bare Au nanoclusters around 1.4 nm (after heat treatment) must await experimental determination of atomic structure of these gold clusters. In future, we will investigate structure-activity-selectivity relationship of the ligand-covered cluster under the Langmuir-Hinshelwood mechanism.<sup>19,20,26,31,66,67</sup> This mechanism requires co-adsorption of both O<sub>2</sub> and styrene molecules at the active site. Research in this direction is under way.

## COMPUTATIONAL METHODS

Density functional theory (DFT) calculations were carried out using the DMol3 4.3 package.<sup>69</sup> Geometric optimization of Au<sub>55</sub>(PPh<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> and Au<sub>55</sub>(PH<sub>3</sub>)<sub>12</sub>Cl<sub>6</sub> nanoparticles were performed by using the generalized gradient approximation in the form of the Perdew–Burke–Ernzerhof (PBE) functional,<sup>73</sup> as used in many previous theoretical studies of gold cluster catalysis.<sup>74–76</sup> The d-polarization-included basis set (DNP) with the effective core potential (ECP) including partial consideration of scalar relativity for the Au element was chosen.<sup>77,78</sup> All-electron calculations were otherwise applied for H, C, Cl, and P elements.

The self-consistent-field calculation has convergence criteria of 10<sup>−5</sup> Hartree. The tolerances of energy, maximum force, and maximum displacement for the geometry optimization were set to be 1.0 × 10<sup>−5</sup> au, 0.003 au, and 0.005 au, respectively. Optimization convergence thresholds for the root-mean-square forces on the atoms were set to be 0.002 au in transition-state search. The combined linear synchronous transit (LST) and quadratic synchronous transit (QST) methods were adopted to locate the transition state.

Theoretical XRD patterns are calculated based on the Debye formula:

$$I(s) = \sum_i \sum_{j \neq i} \frac{\cos \theta}{(1 + \alpha \cos 2\theta)} \exp\left(-\frac{Bs^2}{2}\right) f_i f_j \frac{\sin(2\pi d_{ij})}{2\pi d_{ij}}$$

where  $s$  is the diffraction vector length, satisfying  $s = 2 \sin \theta / \lambda$ . The wavelength  $\lambda$  and  $\alpha$  parameter are 0.1051967 nm and 1.01, respectively (determined from the experimental set-up);  $B$  is 0.03 nm<sup>2</sup>, a damping factor reflecting thermal vibration;  $d_{ij}$  is the distance between atoms  $i$  and  $j$ . The corresponding atomic numbers are used for the scattering factors  $f_i$ .

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**Supporting Information Available:** Adsorption energies, Hirshfeld charges, Mulliken spin density of O<sub>2</sub> adsorption on various active sites, and an energy profile for the O<sub>2</sub> dissociation on a reaction site of Au<sub>55</sub>. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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